Visualization of Colloidal Nanocrystal Formation and Electrode–Electrolyte Interfaces in Liquids Using TEM

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CONSPECTUS: Transmission electron microscopy (TEM) has become a powerful analytical tool for addressing unique scientific problems in chemical sciences as well as in materials sciences and other disciplines. There has been a lot of recent interest in the development and applications of liquid phase environmental TEM. In this Account, we review the development and applications of liquid cell TEM for the study of dynamic phenomena at liquid–solid interfaces, focusing on two areas: (1) nucleation, growth, and self-assembly of colloidal nanocrystals and (2) electrode–electrolyte interfaces during charge and discharge processes. We highlight the achievements and progress that have been made in these two topical areas of our studies. For example, tracking single platinum particle growth trajectories revealed that two different pathways of growth, either by monomer attachment or coalescence between nanoparticles, led to the same particle size. With the improved spatial resolution and fast electron detection, we were able to trace individual facet development during platinum nanocube platinum nanocube growth. The results showed that different from the surface energy minimization rule prediction, the growth rates of all low-energy facets, such as \{100\}, \{110\}, and \{111\}, were similar. The \{100\} facets stopped growth early, and the continuous growth of the rest facets resulted in a nanocube. Density functional theory calculations showed that the amine ligands with low mobility on the \{100\} facets blocked the further growth of the facets. The effect of the ligand on nanoparticle shape evolution were further studied systematically using a Pt–Fe nanoparticle system by changing the oleylamine concentration. With 20%, 30%, or 50% oleylamine, Pt–Fe nanowires or nanoparticles with different morphologies and stabilities were achieved. Real-time imaging of nanoparticles in solution also enabled the study of interactions between nanoparticles during self-assembly. We further compared the study of noble-metal nanoparticles and transition-metal oxides in a liquid cell to elucidate the nanoparticle formation mechanisms. In the second part of this Account, we review the study of electrolyte–electrode interfaces by the development of electrochemical liquid cell TEM. The formation of single-crystalline Pb dendrites from polycrystalline branches and Li dendrite growth in a commercial electrolyte for Li ion batteries were observed. We also studied lithiation reactions of MoS₂ and Au electrodes. MoS₂ nanoflakes on the Ti electrode underwent irreversible decomposition, resulting in the vanishing of the MoS₂ active nanoflakes. More detailed study using nanobeam diffraction indicated that the MoS₂ nanoflakes were broken down into small nanoparticles as a result of the fast discharge. For the lithiation of Au electrodes, three distinct types of morphology changes during reactions were revealed, including gradual dissolution, explosive reaction, and local expansion/shrinkage. Additionally, we studied electrolyte decomposition reactions such as bubble formation and solid electrolyte interphase formation. At the end, our perspective on the challenges and opportunities in the applications of liquid phase environmental TEM for the study of liquid chemical reactions is provided.

1. INTRODUCTION

Transmission electron microscopy (TEM) has been extensively used in high-resolution characterization of materials in materials science, physics, and chemistry. TEM has also been an indispensable tool in imaging of fine biological structures at cryogenic temperature. In recent years, it has become more and more popular to use TEM to study chemical reactions in liquids or gases in situ; significant advances have been made in the development and applications of liquid phase environ-
The study of liquid-phase reactions and an understanding of solid—liquid interfaces are significant for various applications ranging from solution-based synthesis to energy conversion and fuel generation, catalysis, materials corrosion protection, and water separation. Atomic- or molecular-level imaging and spectroscopy of solid-liquid interfaces has attracted a lot of recent attention. Characterization of liquid samples using TEM is challenging because the samples are incompatible with the high-vacuum environment needed for electron microscopy. Hence, the development of liquid cells allowing for imaging through liquids with TEM has attracted lots of attention in recent years. With nanofabricated liquid cells using revolutionary thin SiN₆ window cells and integrated electrodes, we have been able to address some scientific problems concerning solid-liquid interfaces with high spatial and temporal resolution that are previously inaccessible. Liquid phase environmental TEM has attracted broad interest. It has been applied to the study of nanoparticle growth and assembly in solution, electrochemical deposition, lithiation of electrode materials in batteries, tracing or manipulating nanoparticles, catalysis, imaging of biological materials in liquid water and so on.1,5−11

2. NUCLEATION AND GROWTH OF COLLOIDAL NANOCRYSTALS

2.1. Growth Pathways

An understanding of colloidal nanocrystal growth mechanisms is significant for the syntheses of nanocrystals with desired physical and chemical properties. Many growth models for achieving monodisperse nanocrystals are built upon nucleation followed by growth via monomer attachment.12 However, there have been numerous reports showing that monodisperse nanocrystals can be achieved by nanoparticle coalescence, where a bigger nanoparticle can be achieved by different nanoparticles being agglomerated together,13−15 or via oriented attachment1 that nanoparticles attached together with the aligned crystal orientation. Intuitively, growth via nanoparticle coalescence or agglomeration is uncontrollably fast resulting in polydispersity, thus particle coalescence should be avoided. The ability to observe single-nanoparticle growth trajectories provides the opportunity to elucidate nanocrystal growth mechanisms, and TEM liquid cells were the key development allowing imaging through liquids with nanometer or atomic resolution in real time while reactions proceed.

Zheng et al.2 developed ultrathin self-contained liquid cells and first applied them to study colloidal platinum nanocrystals by solution chemistry using TEM. As shown in Figure 2, observations revealed that monodisperse nanocrystals can be achieved by nanoparticles growing simultaneously via different pathways: atomic attachment and particle coalescence. Real-time imaging further indicated that particles formed by simple monomer attachment show a continuous increase in particle size with single-crystalline characteristics throughout the growth. The coalesced particle is polycrystalline at the beginning. It subsequently undergoes shape changes and finally forms a single-crystalline particle. During such a restructuring process, the growth by monomer attachment slows down. Thus, two different growth pathways lead to the same particle size (Figure 2E). Through the combination of these two routes, an initially broad size distribution can spontaneously narrow down into a nearly monodisperse distribution. There are important questions concerning nanoparticle growth by coalescence. For example, how do nanoparticles interact with each other? What are the forces between nanoparticles? The answers to these questions are important for nanoparticle synthesis as well as the self-assembly of nanoparticles into thin films or other three-dimensional structures. Previous studies showed that colloidal nanocrystals take different pathways of growth driven by their size- and morphology-dependent internal energy, and details have been reported in publications.2,16−17

2.2. Nanoparticle Shape Evolution

Liquid phase environmental TEM has been used to reveal the shape evolution of nanoparticles, where formation mechanisms of nanocubes, nanorods, plates, etc. can be resolved. A liquid cell with a small amount of liquid can be encapsulated inside a high-vacuum microscope for an extended period of time. It allows nanoparticles to nucleate and fully develop into different shapes. Nanocrystal nucleation and growth can be initiated by thermal heating17 or, more commonly, by electron beam

![Figure 1. Schematic overview of TEM visualization of colloidal nanocrystal growth and electrochemical liquid reactions.](image1)

![Figure 2. (A) Schematic showing the atomic growth of a nanoparticle. (B) Atomic growth of the (110) facet of a platinum nanoparticle. The red dots show the newly added Pt atoms. Reprinted with permission from ref 21. Copyright 2014 American Association for the Advancement of Science. (C) Schematic showing the self-assembly of nanoparticles. (D) Observed self-assembly of PbSe nanoparticles in a liquid cell. (E) Comparison of different growth trajectories of platinum nanoparticles. Enlarged (1.5 times) color images from an in situ movie showing simple growth by monomer addition (left column) or growth by coalescence between nanoparticles (right column) are presented. Distinct contrast changes are highlighted with arrows indicating recrystallization within the particle after coalescence. Reprinted with permission from ref 2. Copyright 2009 American Association for the Advancement of Science.](image2)
irradiation. Despite many unknown factors in electron-beam-activated reactions, nanocrystals similar to those in flash synthesis have been achieved in a liquid cell. The Zheng group reported in situ liquid cell TEM imaging of platinum nanocube growth with high spatial and temporal resolution (Figure 3A,B). Tracking of individual facet evolution showed that the growth rates of all low-index facets, e.g., \{100\}, \{110\}, and \{111\}, are similar until the \{100\} facets stop growing. The continuous growth of the rest facets leads to a nanocube. Calculations indicated that the arrest of \{100\} facet growth can be attributed to the lower ligand mobility on the \{100\} facets. At the end, only the \{111\} facets grow, filling the corners until the nanoparticle becomes a cube. This study provided critical insights into the role of ligands in controlling nanocrystal shape evolution. It was also concluded that at the nanoscale the stochastic nature dominates the nanocrystal growth, which is different from the Wulff construction prediction that the higher-energy facets grow faster and the nanocrystal morphology is determined by the relative surface energies of the different crystalline facets.

Many recent studies have shown that nanoparticles can act as "artificial atoms" to serve as the basic building blocks for the synthesis of hierarchical nanostructures. As discussed in the previous section, small nanoparticles interact with each other to form a large single crystal through oriented attachment. Liao et al. reported the solution growth of Pt\_3Fe nanorods, where a nanoparticle chain was achieved by shape-directed nanoparticle attachment, and the subsequent straightening of the chain with crystal orientation correction resulted in a single-crystal nanorod. Tracking the nanoparticle growth trajectories assisted in differentiating the forces exerted by a single nanoparticle or a nanoparticle chain (Figure 3C,D).

A systematic study of ligand effects on nanoparticle formation was further carried out (Figure 3E,F). When the oleylamine concentration was changed from 30% to 20%, nanoparticles were still able to end-to-end attach together to form a nanowire. However, the nanowire subsequently broke...
down into pieces, and each piece shrank into a round particle. A large size distribution of the nanoparticles was observed. With a higher concentration of oleylamine, such as 50% or more, the individual nanoparticles were stabilized in solution.

Interactions between nanoparticles can be complex, and a variety of forces may be involved, such as van der Waals forces, electric or magnetic dipolar forces, liquid surface tension in drying-mediated self-assembly, etc. Powers et al. observed and quantified the complex dynamics of Pt–Fe nanoparticle self-assembly directly. The long-range anisotropic electric dipolar forces and the close-range van der Waals interactions result in the formation of loosely packed clumps. The chains fold to form either locally packed clumps or a two-dimensional film. The results prove that the long-range forces and particle interactions observed for PtFe3 are inherent to the particle properties. Nanoparticles can be assembled around a liquid droplet and ring-like deposits along the perimeter of liquid droplet can be found. The mechanism behind the formation of these and similar rings is known as the coffee ring effect.

2.3. Metal versus Oxide Nanoparticle Growth

Transition-metal oxides, such as spinel ferrites MFe2O4 with M(II) corresponding to Fe2+, Mn2+, Ni2+, Zn2+, or Cu2+, have many applications ranging from biomedicine to catalysis and high-density magnetic storage. An understanding of their formation mechanisms and ultimately control of the synthesis have been of great interest. There have been many studies on in situ observation of noble-metal nanocrystal formation using liquid cell TEM to elucidate the electron-beam-induced growth mechanisms. However, there are a limited number of reports on the formation of metal oxide nanoparticles in a liquid cell.

Liang and co-workers reported the study of M–Fe oxide (M = Ni, Mn, Co, Zn) nanoparticles using liquid cell TEM. A growth solution of metal acetylacetonates dissolved in oleylamine, oleic acid, and benzyl ether was used. Nickel iron oxide or cobalt iron oxide nanocrystals with spinel structure were obtained under electron beam irradiation of the Ni–Fe or Co–Fe precursor solution. The study also showed that with the solution containing Mn–Fe or Zn–Fe precursor, iron oxide nanoparticles were achieved with Mn or Zn remaining in the solution. Ternary oxides (i.e., Ni–Fe oxide and Co–Fe oxide) were achieved when the two precursors have smaller difference in metal ion reduction potentials and thermal decomposition temperature of Pt(acac)2 compared with Fe(acac)3. Once Pt is formed, oleylamine ligands (R–NH2) prefer to bind with Pt (stage I). Thus, Fe3+ ions can be reduced to form Fe3Pt, where Pt catalyzes the electron transfer between oleylamine and Fe ions. When Pt is depleted in the precursor solution, the nanoparticle core size is fixed, and the Fe ions adsorbed on the nanoparticle surface develop into iron oxide without further reduction. Most of the nanoparticles show a polycrystalline shell, likely due to the large lattice mismatch between Fe3Pt and α-Fe2O3.

It is noted that besides nanoparticle growth, etching of nanoparticles has also been observed in liquid cells. Schneider et al. and others showed that the electron beam plays an important role in crystallization as well as etching. Thus, quantitative studies of the effects of the electron beam are necessary to elucidate the growth mechanisms observed in a liquid cell under TEM.

3. CRYSTALLIZATION AND REACTIONS UNDER ELECTROCHEMICAL BIAS

3.1. Dendritic Growth

Dendritic growth has attracted a large amount of research interest in recent years. It is ubiquitous in materials solidification and crystallization and arises from instabilities when the growth rate is limited by the diffusion of ions from solution to the deposits. Dendrite formation can induce device failure—for example, a dendrite may connect two electrodes of a battery cell. Real-time observation of the electrochemical growth with high spatial resolution provides the opportunity to elucidate the dendrite growth mechanisms and insights on new strategies to reduce battery failure.
Sun et al.\textsuperscript{49} reported in situ TEM imaging of the deposition and dissolution of lead dendrites on the electrodes during discharge–charge processes. Unique crystallization pathways were revealed. As shown in Figure 6A,B, Pb ions were first reduced to form small grains on the electrode. Subsequently, those grains aggregated to form a large cluster with polycrystalline features. Eventually, a single-crystalline structure was achieved through interactions between nanograins and recrystallization.

Using a commercial electrolyte for lithium ion batteries, we have also observed lithium dendrite growth in situ. As shown in Figure 6C, the lithium dendrite nucleates between two bubbles and grows rapidly on the Au alloy layer (highlighted by blue arrows). The lithium dendrite preserves its shape for a short time; when the voltage is swept back, localized Li stripping occurs, and thus, the dendrite eventually dissolves.

There have been other reports on in situ observation of electrodeposition related to dendrite formation. For example, Radisic et al.\textsuperscript{47} reported imaging of electrochemical deposition of polycrystalline Au using an electrochemical liquid cell. Chen et al.\textsuperscript{50} achieved deposition of nickel nanograins with a homemade TEM cell. White et al.\textsuperscript{52} captured electrodeposition and stripping of lead on polycrystalline gold electrodes.

### 3.2. Electrode Reactions: MoS\textsubscript{2} Dissolution and Au Lithiation Reactions

We recently observed lithiation/delithiation of MoS\textsubscript{2} nanosheets in a LiPF\textsubscript{6}/EC/DEC commercial electrolyte.\textsuperscript{52} There have been a series of related studies on lithiation- and delithiation-induced structural transformations using in situ TEM.\textsuperscript{52–55} For instance, lithiation and delithiation of Si nanowire electrodes during electrochemical testing\textsuperscript{53} showed that the first lithiation of Si nanoparticles leads to anisotropic volume expansion favoring the $\langle 110 \rangle$ directions followed by isotropic expansion.\textsuperscript{56} There have also been studies on atomic imaging of lithium insertion and extraction dynamics in partially delithiated LiFePO\textsubscript{4}\textsuperscript{57} and the random solid-solution zone in LiFePO\textsubscript{4} electrodes\textsuperscript{58} and the breakdown of a range of inorganic/salt complexes in Li-ion batteries.\textsuperscript{55}

Our observation of lithiation/delithiation of MoS\textsubscript{2} nanosheets showed that MoS\textsubscript{2} on the Ti electrode underwent irreversible decomposition resulting in fast dissolution and vanishing of MoS\textsubscript{2} nanoflakes (Figure 7A), which is consistent with other literature reporting on electrode material dissolution and the lithium polysulfide shuttling effects in a real battery cell.\textsuperscript{59} Repeated experiments also indicate lithiation-induced structural expansion and deformation of MoS\textsubscript{2} nanosheets. In addition, fast discharge induces “explosion” of some MoS\textsubscript{2} nanosheets into 5–10 nm MoS\textsubscript{2} nanoparticles.\textsuperscript{4,52}

It has been a serious issue that mechanical stress can be generated during lithiation and delithiation processes, which induces pulverization with rapid capacity fading. Although...
different Au–Li alloy phases have been identified through both ex situ and in situ studies, the Au–Li reaction has never been observed directly. We studied the lithiation of a Au electrode in an electrochemical liquid cell using a commercial liquid electrolyte for lithium ion batteries. Three distinct types of morphology changes were observed during the reaction, including gradual dissolution, explosive reaction, and local expansion/shrinkage. The local expansion/shrinkage of the Au electrode during lithiation induces cracks and is likely due to inhomogeneous lithiation reactions (Figure 7C). The explosive Li–Au reaction was attributed to adsorption and accumulation of the gaseous products on the electrode surface, which trigger the explosion of the Au electrode at a later stage (Figure 7D). As to the gradual dissolution, the Au electrode could react intensively with the electrolyte, resulting in stripping and dissolution of Au from the outer layer into the inner layer of the electrode (Figure 7E).

3.3. Electrolyte Decomposition: Solid Electrolyte Interphase and Bubble Formation

In general, the electrolyte solutions for Li batteries are composed of redox couples with the solvent as a reductant and the salt as an oxidant. The majority of species that are oxidized are solvent molecules, not the salt anions. The breakdown of a range of inorganic/salt complexes and reactions between complexes lead to the formation of a solid–electrolyte interphase (SEI). The SEI layer protects the electrolytic solution and other battery components from undesirable reduction or oxidation. However, gas production during SEI formation on the active electrode can introduce defects and strain in the SEI film, thus leading to peeling of the SEI from the electrode. There have been limited in situ studies on the direct observation and characterization of SEIs, largely because the SEI is air-sensitive and analyzing it in a battery cell is difficult. Zeng and co-workers achieved real-time observation of SEI formation using electrochemical liquid cell TEM.

As shown in Figure 8A, the SEI film grows on the electrode as a result of the reduction of electrolyte corresponding to the electric sweeping (4 to 0 V). At the beginning, a thin SEI layer was observed on the Au electrode. Subsequently, a gap between the SEI film and the electrode was observed, and the emerged void between the SEI layer and active electrode promptly expanded, likely as a result of the released gas accompanied by the reactions of active electrode materials.

Further characterization of SEI confirmed the presence of C, O, and F elements. And, there were LiF nanocrystals (4–5 nm) distributed within the whole amorphous matrix layer. Since it is hard to detect amorphous or small crystalline components, the presence of other inorganic components (e.g., Li4CO3, Li2O) within the SEI cannot be excluded. It should be noted that gaseous products have been observed in many other electrochemical experiments. For example, bubbles can form from electrolyte decomposition induced PFS (Figure 8B). By replacement of Au with a Ti electrode, bubble formation can be significantly reduced, suggesting that Au may catalyze the bubble generation.

4. ELECTRON BEAM EFFECTS

The electron beam effects on solid samples have been studied both theoretically and experimentally. However, the effects of the electron beam during imaging of liquid samples can be different from those on solids, depending on the specific system and the role of various factors. Since several phenomena may occur simultaneously, such as solvated electrons, bond cleavage, and local heating, the radiation damage mechanisms is complicated. When liquid cell TEM is used to study nanoparticle growth, the electron beam is often an energy source to trigger the reaction. The high-energy electron beam can induce decomposition of the liquid or precursor. Nanoparticle growth under the electron beam occurs above a certain dose threshold. However, with a sufficiently high dose, gaseous products, such as bubbles, can be generated in a solution, various radicals, including secondary electrons, can be generated and these species can further participate in the reactions. To minimize the electron beam damage, one can consider reducing the accelerating voltage or lowering the electron dose. As all electron beam effects are dose-dependent, low-dose imaging can be an effective way to reduce electron beam damage in all systems. For electrochemical deposition using liquid cell TEM, beam effects are minimized at the reduced electron dose.
electrolyte decomposition were achieved only when an electric bias was applied in the electrochemical cell.48,49,52

5. FUTURE PERSPECTIVES

The ability to image through liquids with TEM has opened tremendous opportunities to study liquid-phase reactions and to reveal dynamic phenomena at solid—liquid interfaces in situ with high spatial and temporal resolution. In addition to visualization of colloidal nanocrystal growth and assembly and liquid-phase electrochemical reactions as discussed in this Account, there are many other applications of liquid environmental cell electron microscopy, such as imaging of soft or biological materials in liquid water, materials corrosion, and catalysis. Future advances in atomic-resolution liquid cell TEM would enable a significant leap in the study of dynamic processes of materials with high spatial and temporal resolution.

For the study of liquid-phase reactions or dynamic phenomena of materials at liquid—solid interfaces, we may also fully take advantage of aberration-corrected electron microscopes, advanced detectors, and other instrumentation development. As we know that TEM has advanced significantly with aberration-corrected optics, imaging with 0.5 Å spatial resolution73 and EELS with 1 or 2 meV energy resolution74 have been achieved. With the combination of advancement in EDS development, TEM is becoming more and more powerful in characterization of atomic structure, bonding, and chemical and structural properties of materials. In addition, with the development of advanced detectors,21 high-speed data acquisition with 2.5 ms temporal resolution21 has also been obtained. Other factors, such as optimization of the sample thickness, limiting electron beam damage, control of the sample environment, especially the liquid reaction or mixing, and quantitative measurements of reaction products, are also critical for revealing dynamic phenomena at liquid—solid interfaces with high resolution.

A new liquid cell platform (Figure 9) beyond the limits of current imaging capabilities is needed to achieve fast, atomic resolution TEM imaging through liquids. Such a platform will be built upon new liquid cell development, advanced imaging, fast electron detection, computational design, and data analysis. It will enable the controlled reactions in a liquid cell, advanced image acquisition with atomic resolution and at a fast speed, integrated analytical capabilities, comprehensive data processing and interpretation, thus the study of chemical reactions in solution will be revolutionized.

These efforts promote TEM as an analytical tool for chemical sciences in addition to materials science, physics and biology.

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